

**Request for setting up System Users  
and the list of electronic documents**

**Table 1.1**

**List of System Users for the Client**

Full name	Additional ES Status of System User (yes/no) <sup>1</sup>			
	ES Status=1	ES Status=2	ES Status=3	ES Status=4
Sample of distribution of signatures in accordance with positions <sup>2</sup>	CEO	Accountant	Senior employee	Junior employee

**Table 1.2**

**List of Electronic Documents Generated by the Client**

	Document type <sup>4</sup>	Additional ES required to dispatch ED to the Bank, and amount limitations <sup>3</sup>							
		Stage 1		Stage 2		Stage 3		Stage 4	
		Amount (max.)	ES Status	Amount (max.)	ES Status	Amount (max.)	ES Status	Amount (more than)	ES Status
1	Payments in Rubles								
	Payment order, Payment request, Interbank transfer, Same-client transfer (Payment order)								
2	Currency payments								
	Currency transfer request, Request for currency transfer by the Bank								
	EUR								
	USD								
	Other								

	Document type <sup>4</sup>	ES Status
3	Currency purchase request	
4	Currency sale request	
5	Order for sale of currency from transit currency account	
6	Confirmation to Master Agreement on placement of funds	

7	Return of previously placed funds	
8	Funds placement request <sup>5</sup>	
9	Request for early withdrawal of deposit or a part thereof <sup>5</sup>	
10	Order for activation of "SMS-Bank" System/change of parameters of working in the System <sup>5</sup>	
11	Request for disbursement of loan	
12	Request for funds recall <sup>4</sup>	
13	Money delivery order <sup>4</sup>	
14	Cancellation request	
15	Free Format Message	
16	Message with an attached file	
17	Salary register	
18	Payment order for the Salary register	
19	Information on currency transactions	
20	Certificate of supporting documents	
21	Registration of a contract	
22	Registration of a loan agreement	
23	Request for de-registration of a contract (loan agreement)	
24	Request for introducing changes to the contract (loan agreement) data	
25	Securities supply/withdrawal to/from trading session <sup>4</sup>	
26	Order for delivery of State Securities <sup>4</sup>	
27	Securities purchase/sale order <sup>4</sup>	
28	Precious metals offer	
29	Precious metals release	
30	Securities supply order <sup>4</sup>	
31	Securities withdrawal order <sup>4</sup>	
32	Securities transfer order <sup>4</sup>	
33	Cancellation order <sup>4</sup>	
34	Request of completed transactions report <sup>4</sup>	
35	Request of uncompleted transactions status report <sup>4</sup>	
36	Request of statement of custody account <sup>4</sup>	
37	Request of bank statement of custody account <sup>4</sup>	
38	Request of completed transaction confirmation <sup>4</sup>	

<b>Note 1:</b> Each user by default has obligatory ES Status – “User”. Depending on the Client’s workflow, any System User can be assigned one or more additional ES Statuses for different ED types. In which case, ED will be sent to the Bank only as signed with all necessary signatures according to this Annex.
<b>Attention.</b> If all System Users do not have any additional ES Status, it means that they have equal rights to send ED directly to the Bank for execution without any additional signing. The column “Additional ES, necessary ED to be sent to the Bank, and limitations on the amount“ in Table 1.2 of the Application is not to be filled.
<b>Note 2:</b> System User positions specified in the title of Table 1.1 are used for a better understanding and should not be considered as current positions of the System Users listed in the table.
<b>Note 3:</b> Table 1.2 specifies additional ES Status of System Users defined in Table 1.1 of the Application (1 – CEO, 2 – Accountant, 3 – senior employee, 4 – junior employee). If any Status is not specified, it means that any System User may send an ED type for execution to the Bank. Columns «in the amount of (no more than)» show maximum amount in ED to be signed by System Users with different ES Statuses. The blank column means absence of any limitation on the amount.
<b>Note 4:</b> Types of documents 12, 13, 25, 26, 27 are used only when working with the System within the broker service system of the securities market – ROSTRADE. To connect to the ROSTRADE system, it is necessary to sign the Broker Service Agreement. Types of documents 30 – 38 are used only in the Depository module of the System. It is necessary to sign the custody account agreement to connect to Depository module of the System.
<b>Note 5:</b> For legal entities, individual entrepreneurs and individuals who operate in private practice under the statutory procedure of the Russian Federation, who are designated as entrepreneurs in accordance with criteria specified by ROSBANK PJSC.

**Table 1.3**

**List of Electronic Documents Generated by the Bank**

Type of document <sup>1</sup>
1 Statement of account
2 Debit note
3 Credit note
4 Statement of transactions
5 Report on cash movement/balance
6 Report on tax deductions
7 Report on depository operations with securities
8 Report on the balance of securities on custody account
9 Report on uncompleted transfers from custody account
10 Free Format Message
11 Message with an attached file
12 Report on completed operations on custody subaccount
13 Report on status of uncompleted operations on custody subaccount
14 Statement of custody subaccount
15 Bank statement of custody subaccount status
16 Confirmation of operation execution
17 Status of order/request processing
18 Revocation of formerly sent document
19 Banking checklist

**Note 1:** Types of documents 4 – 9 are used only in the ROSTRADE system. To connect to the ROSTRADE system, it is necessary to sign the Broker Service Agreement.

Types of documents 12 – 18 are used only in the Depository module of the System. It is necessary to sign the custody account agreement to connect to Depository module of the System.

The Client hereby confirms that from \_\_\_\_\_. (please indicate the date of the state registration of the most recent changes in constituent documents presented to Bank) changes in its constituent documents have not been made.

**Contact telephone numbers for handling organizational and technical matter relating to the System operation**

**BANK**

System User Support Desk.

Calling from Moscow: (495) 725-55-95; (495) 518-99-11

Calling from regions: 8 (800) 700-20-70

Working hours of the System User Support Desk are indicated on the Bank's web-site at [www.rosbank.ru](http://www.rosbank.ru)

**CLIENT**

**Full Name**

**Position**

Email: \_\_\_\_\_ telephone: \_\_\_\_\_

By signing this Application for the first time, the Client, in accordance with article 428 of the Civil Code of the Russian Federation, the Client certifies his/her acceptance of the General Terms and Conditions for Use of Electronic Documents.

The Client confirms that he/she has read and understood the General Terms and Conditions for Use of Electronic Documents, Instruction on the procedure for interaction between the parties related to the exchange of electronic documents, List of hardware and software required for the operation of the *Client Subsystem*, Bank's tariffs, that he/she understands them, agrees with them and undertakes to follow them.

<p><b>CLIENT</b></p> <p>_____</p> <p>(name of the Client)</p> <p>Full Name _____ / _____</p> <p>Position _____</p> <p>_____, 20____.</p> <p>Seal*</p>
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Received by the Bank:

Full Name \_\_\_\_\_ / \_\_\_\_\_

Position \_\_\_\_\_

\_\_\_\_\_, 20\_\_\_\_.

Seal \*

\*- If available